

Union Bank of India (UK) Ltd is authorised by the Prudential Regulation Authority and Regulated by the Financial Conduct Authority and Prudential Regulation Authority

CHECK LIST FOR DOCUMENTS

1. Charity/Trust/Associations's Certificate of Incorporation
2. Certified Copies of Rules and Regulations/Bye-Laws
3. Complete List of Trustees/Management Committee/ Governing Body
4. Board Resolution (as provided in Annexure 1) on the Charity/Trusts/Societies Letterhead
5. Current Bank Statement (not older than 3 months and postal copy)
6. Current Address proof: Utility bill copy (not older than 3 months & postal copy)
7. Two Years Latest Audited Annual Report/Financial Statement

IDENTITY OF TRUSTEES/SIGNATORIES:

- Valid Passport/Valid UK Photo Card Driving Licence
- Address of all Signatories:
- *A copy of recent (not older than 3 months) Bank statement or uk driving license (If not used an ID proof) or utility bill (telephone, gas, electricity, water, council tax, T.V License) with full name and address.
- *Online Copies, Mobile Phone Bills & Credit Card Statement are not accepted.
- *In addition bank may ask for proof of source of wealth.

Special Instructions :

Please also enclose

1. CRS Entity Certificate
2. CRS Controlling person certificate
3. Data protection Act 2018
4. FSCS Acknowledgement Form (DGS information sheet)

Forms are available on our website
www.unionbankofindiauk.co.uk under **Forms** download section.

Branch:

Type of Account :

- Current *Term Deposit Other(s)

*Period of Deposit : year(s)

Amount:

*Interest Pay out: Annually On Maturity

Currency of the Account :

- GBP USD EUR

For Office Use:

Cust ID :

A/C No :

Risk Assessment :

- Low Risk Medium Risk High Risk PEP

1 : ENTITY INFORMATION

1. Full name of the Entity
2. Date of Incorporation
3. Country of Incorporation
4. Registration Number
5. Details of Governing body - When and How Elected
6. Details of Activity
7. General source of receipts/credits

8. Subsequent name change (if any) - Full name of Entity

9. Date of Incorporation

10. Country of Incorporation

11. New Registration Number

12. Details of change in Activity and Purpose

13. Current correspondence Address

14. Telephone 1 :

15. Telephone 2 :

16. Fax Number :

17. City :

18. Postal Code :

19. Registered Address (only if different to current address) :

20. Telephone 1 :

21. Telephone 2 :

22. Fax Number :

23. City :

24. Postal Code :

25. E-mail Address :

26. Are you or any individual connected to your business a PEP ? (Politically Exposed Person) Yes No

2 : ACCOUNT DETAILS

PERSONAL DETAILS-1 (Governing Body Member/Trustee/Authorised Signatory)

TITLE : Mr Ms Mrs Miss Other

1. First Name

2. Middle Name

3. Surname

4. Address

PERSONAL DETAILS-2 (Governing Body Member/Trustee/Authorised Signatory)

TITLE : Mr Ms Mrs Miss Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder? Yes No
If yes please specify Account no

20. US Withholding Tax Declaration.. I am not a US Person or US Citizen
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes: I am a US Person or US Citizen*
(*Please ask to complete FATCA form)

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder? Yes No
If yes please specify Account no

20. US Withholding Tax Declaration.. I am not a US Person or US Citizen
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes: I am a US Person or US Citizen*
(*Please ask to complete FATCA form)

3 : ACCOUNT DETAILS

PERSONAL DETAILS-3
(Governing Body Member/Trustee/Authorised Signatory)

TITLE : Mr Ms Mrs Miss Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

PERSONAL DETAILS-4
(Governing Body Member/Trustee/Authorised Signatory)

TITLE : Mr Ms Mrs Miss Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder? Yes No
If yes please specify Account no

20. US Withholding Tax Declaration.. I am not a US Person or US Citizen
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes: I am a US Person or US Citizen*
(*Please ask to complete FATCA form)

8. Email

9. Previous Address
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder? Yes No
If yes please specify Account no

20. US Withholding Tax Declaration.. I am not a US Person or US Citizen
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes: I am a US Person or US Citizen*
(*Please ask to complete FATCA form)

4 : PERSONAL DETAILS

EMPLOYMENT DETAILS (PERSON-1)

Employed Full Time Student

Employed Part Time Unemployed

Self Employed Pensioner

Homemaker Other

Nature of Employment/ Business (If retired, prior to retirement)

Name of Employer/ Business Name of Employer/ Business

Address

Postcode

Telephone Number

EMPLOYMENT DETAILS (PERSON-2)

Employed Full Time Student

Employed Part Time Unemployed

Self Employed Pensioner

Homemaker Other

Nature of Employment/ Business (If retired, prior to retirement)

Name of Employer/ Business Name of Employer/ Business

Address

Postcode

Telephone Number

Time with Employer/ business (Month/Year)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Time with Employer/ business (Month/Year)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Gross Annual Income	<input type="text"/>	Gross Annual Income	<input type="text"/>

5 : PERSONAL DETAILS

EMPLOYMENT DETAILS (PERSON-3)	EMPLOYMENT DETAILS (PERSON-4)
<input type="checkbox"/> Employed Full Time <input type="checkbox"/> Student <input type="checkbox"/> Employed Part Time <input type="checkbox"/> Unemployed <input type="checkbox"/> Self Employed <input type="checkbox"/> Pensioner <input type="checkbox"/> Homemaker <input type="checkbox"/> Other	<input type="checkbox"/> Employed Full Time <input type="checkbox"/> Student <input type="checkbox"/> Employed Part Time <input type="checkbox"/> Unemployed <input type="checkbox"/> Self Employed <input type="checkbox"/> Pensioner <input type="checkbox"/> Homemaker <input type="checkbox"/> Other
Nature of Employment/ Business (If retired, prior to retirement)	Nature of Employment/ Business (If retired, prior to retirement)
Name of Employer/ Business Name of Employer/ Business	Name of Employer/ Business Name of Employer/ Business
Address	Address
Postcode	Postcode
Telephone Number	Telephone Number
Time with Employer/ business (Month/Year)	Time with Employer/ business (Month/Year)
Gross Annual Income	Gross Annual Income

6 : ADDITIONAL INFORMATION REGARDING OTHER ACCOUNTS

Do you have an Account with any other Bank ? Yes No

Name of Account	
Account Number	
Sort Code	
Bank Name	

COMPANY REQUIREMENTS

Cheque Book (Only for GBP Current Account)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Internet Banking (View Only)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

GENERAL AGREEMENT AND AUTHORISATION

I/we declare, confirm and agree:

- that all particulars and information given in this application form (and all documents referred to or provided herewith) are true, correct, complete, up-to-date in all respects and I/we have not withheld any information. I/we understand that certain particulars given by me/us are required for regulatory reasons. I/we also agree to provide any further information that Union Bank of India (UK) Ltd may require: and
- that I/we have had no insolvency proceedings initiated against me/us, nor have I/we ever been adjudicated insolvent. I/we have no Court Judgements registered against me/us: and

- I/We agree, undertaken and authorise Union Bank of India (UK) Ltd to exchange share or part with all the information, data or documents relating to my/our applications to credit reference agencies.
 - I/We agree that any account opened immediately or on a future date will be subject to the Bank's Terms and Conditions (A copy of which has been provided/available on www.unionbankofindiauk.co.uk) and as amended from time to time.
 - I/We agree to comply with the Bank's rules with regard to the conduct of the accounts. I/We resolve to provide to the Bank in writing any changes in personal details including addresses or circumstances that may change from time to time.
 - *I/We acknowledge the receipt of Financial Services Compensation Scheme's (FSCS) Information Sheet & Exclusion List.
 - I/We undertake that I/we will not claim any interest on any of our fixed deposit/s made from time to time after the maturity date unless and until we have made a specific request to renew the deposit. I/we hereby authorise Union Bank of India (UK) Ltd to renew the fixed deposit/s made from time to time, on maturity for an identical period in the absence of any specific notice to the contrary.
 - I/We declare that I/we have read and understood the Bank's Terms and Conditions, information about tariff of charges, summary of information about the Products and agree to abide by them.
 - By signing below, I/we have read and understood this General Agreement and consent to the Bank to process data for the purpose stated, including sending the data to the Bank's offices in India.
 - Under the Data Protection Act 2018, there are restrictions placed on data processors (Union Bank of India (UK) Ltd) regarding the transfer of data outside the EEA. The data provided by me/us or already in the Bank's records will be provided to the Back Office Operations Department (part of the Bank) in India for processing purposes and may be communicated to the Bank's parent bank in India, who may, for regulatory or statistical purposes, provide information to the Indian Regulatory Authorities. Information may be used for credit search. I/We understand that no information will be disclosed outside the Bank. The Bank will disclose the information it holds if the Bank is allowed by law and if I/we give permission/authority to the Bank, if the Bank's interest means that it must give the information (for example, to prevent fraud) or if there is a public duty to do so. I/We have the right to request the data held about me/us. The Bank may charge an administration fee to meet its costs of providing me/us with the data.
 - In order to verify your identity electronically (this is only to confirm identity and not a credit check), we will ask for your details (such as your name, address, date of birth and details will be passed on to external organization/s in order to electronically match your information with information on their databases. These organisations will assess and advise us whether all or some Information you provid(ed) matches their records.
 - If false or inaccurate information is provided and fraud is identified, details will be passed on to fraud prevention agencies to prevent fraud and money laundering. Further details explaining how the information held by fraud prevention agencies may be used can be obtained by from our website www.unionbankofindiauk.co.uk.
 - Union bank of India (UK) Limited would like to keep you informed about any special offers you may be entitled to or about products and services available from the Bank that we think may interest you. If you want information on other products and services, please tick the following box: Yes
- From time to time we would like to contact you with details of other products and services we provide. If you consent to us for this purpose please tick to say how you would like us to contact you:
- Post Email Telephone Text messages Automated Call
- However, we will continue to update you on required changes regarding servicing your account specify with us. Unless you have said otherwise, by continuing with this application, you agree to us contacting you using any of the methods.
- If I/We have opted for Internet Banking Services, then I/we declare that I/we have read, Understood and agree to the Terms and Conditions applicable to Internet Banking in relation to the operation of my/our Account as available via the website www.unionbankofindiauk.co.uk and as listed in the accompanying Terms and Conditions and that I/we will adhere to all the applications Terms and Conditions.

INSTRUCTIONS FOR OPERATIONS AND CONFIRMATION OF GENERAL AGREEMENT

A/C No : (for Branch Use) Singly Jointly Anyone Others

S.No	Name	Designation	Signatures
1.			Date
2.			Date
3.			Date
4.			Date
5.			Date

FOR OFFICE USE :Name: Designation: Signature:

Name of the official in whose presence the documents were signed and documents verified :

MLRO and/or Compliance Sign off I hereby declare that this account opening form is complete in all respect and relevant documents have been obtained and verified.Branch Manager/ Head of Operations: **RESOLUTION FOR ACCOUNT OPENING FOR ASSOCIATIONS/CHARITIES/TRUSTS****ANNEXURE-1****Union Bank of India (UK) Ltd**Date :

We hereby certify that the following resolutions of the Board of Trustees of
 were passed at a meeting of the Board duly convened and held on the
 and has been duly recorded in the minutes book of the said Charity/ Trust.

Resolved that :

1. That a banking account(s) in the name of the Charity/Trust be opened with Union Bank of India (UK) Ltd ('the bank'), and that the following resolutions shall apply to all accounts of the Charity/Trust with the Bank now or in the future.
2. The bank be authorised subject to the Terms and Conditions of the Charity/Trust account
 - (a) To honour and comply with all cheques and other orders or instructions signed on behalf of the Charity/Trust, singly/jointly* by the following authorised signatories:

Signing rules:

- One authorised signatory
 Any two authorised signatories
 Any other (please specify)

Authorised signatories*:

S.No	Name	Position held in the Organization	Signatures
1.			
2.			
3.			
4.			

- (b) To accept the signatory as fully empowered to act on behalf of and bind the Charity/Trust/Association In any other transactions with the Bank.
- (c) It was further resolved that Union Bank of India (UK) Ltd be requested to open additional accounts as and when required by the Charity/Trust/ Association. The Bank may also be instructed from time to time regarding any changes to the authorised signatories to the account.
- (d) It was further resolved that Union Bank of India (UK) Ltd be authorised to accept facsimile instructions or scanned copy of instructions by email given on behalf of the Charity/Trust/Association by the authorised signatories as mentioned above it was further resolved to excute an Instruction indemnity in favour of Union Bank of India (UK) Ltd to allow the authorised signatories to give instructions to the Bank by fax or through email.
- (e) It was further resolved that the Charity/Trust/Association do avail the 'Corporate Internet Banking Service' over Internet Channel with Union Bank of India (UK) Ltd at their Branches and the Charity/Trust/Association accepts such 'Terms of Service' for Corporate Internet Banking laid down by the bank from time to time for the purpose. Resolved further that each of the officials as empowered by the Charity/Trust/Association to operate the Charity/Trust/Association's accounts with the bank either singly or jointly as the case may be, is hereby authorised to apply for and avail of the 'Corporate Internet Banking' facility offered by the bank and do all they have been authorised, electronically, using their user name and password.

The Bank is to be supplied with:

- (a) A copy of the Articles of Association Certified by the Charity/Trust/Association as true, complete and up to date;
- (b) The Charity/Trust/Association's Certificate of Incorporation (to be copied and duly returned);
- (c) Copies of the latest audited Balance Sheet and Annual Reports where applicable.
- (d) Copies of any resolutions concerning the foregoing which may be passed from time to time:
 1. The Charity/Trust/Association agrees that any indebtedness or liability incurred by the Charity/Trust/Association under this authority shall in the absence of any express written agreement by the Bank to the contrary be due and payable on demand.
 2. The Bank be and is by this resolution authorised to provide the Charity/Trust/Association's auditor from the time being and from time to time with such information as the Charity/Trust/Association's auditors may request from time to time until notice in writing to the contrary is received b the Bank.

3. The Charity/Trust/Association agrees to provide to the Bank in writing any changes in details or circumstances that may change from time to time.
4. The Charity/Trust/Association Secretary shall, as and when necessary, supply to the Bank a list of the current directors/trustees and, if applicable, other officials authorised to sign with specimen signatures on such lists signed by the Secretary.
5. The Charity/Trust/Association hereby confirms that no general charge on the assets of the Charity/Trust/Association has been created in favour of any bank or financial Institution/and or the Charity/Trust/Association has obtained the necessary approval of the bank/financial institution for opening and operating a current account with the bank, a copy of which is attached.
6. The Charity/Trust/Association hereby confirms that none of the directors are or have been disqualified under the Charity/Trust/Association Director Disqualification Act 1986 or any similar overseas legislation covering the disqualification of directors or other officers of a Charity/Trust/Association.
7. In this resolution the expressions 'Directors' or 'Trustees' and 'Secretary' shall be construed as Director(s) or Trustee(s) and Secretary for the time being of the Charity/Trust/Association and shall, in the case of Director(s) include alternate Director(s) and in the case of Secretary shall include any joint Secretary, Assistance Secretary or Temporary Secretary.
8. We certify that the signatures set down within Section B of this account opening form are those of all the Trustees, Directors, the Secretary and of any other Officers of the Charity/Trust/Association authorised to sign, that such signatures are the genuine signatures of such persons and that such signatures operate as the specimen signatures of each of such persons.

Yours faithfully,

CHAIRMAN/TRUSTEE/PRESIDENT:

Signature Name

SECRETARY:

Signature Name

ANNEXURE-2

Union Bank of India (UK) Limited Telecommunications Authorisation

I/we authorize Union Bank of India (UK) Limited (the Bank) to accept and act upon instructions given through telephone, fax or email by me/us or any person authorized by me/us subject to the following, which I understand and acknowledge:

- There are inherent risks in sending instructions by telephone, fax and email.
- Any email instructions must be sent from the registered email address held by the Bank.
- When instructions are given to the Bank by telephone, fax or email, the Bank will verify my/our identity by a telephone call to a number the Bank holds on record for me/us. During this call the Bank will ask questions based on information known to the Bank about me/us and the transactions on my/our account. On confirmation of this information the Bank will act on my/our instructions including payment of money from my/our account.
- If the Bank is unable to contact me/us to verify the instructions, the Bank may not act on our instructions and any payments requested may be delayed or not made.
- This telecommunications authorization will be applicable for any future accounts that I/we may open with the Bank.
- I/We understand that in addition to the above terms, this service is also governed by the Business Banking Terms and Conditions.

NAME OF THE COMPANY/ORGANISATION _____

ADDRESS OF THE COMPANY/ORGANISATION _____

NAME OF THE AUTHORISED SIGNATORY 1: _____

SIGNATURE _____ **DATE:** _____

NAME OF THE AUTHORISED SIGNATORY 2: _____

SIGNATURE _____ **DATE:** _____

CERTIFICATE

I/We certify that:

WE HEREBY CERTIFY that, after considering Union Bank of India (UK) Limited General and Account Specific terms and conditions, placed before it, Board of Directors/the Executive Committee/Trustees, passed the resolution set out in section _____ in a duly convened meeting, on _____, at which quorum was present, and that such Resolution has been duly recorded in the Minute Book of the _____ (Trust/Charity/Association/Club/Charity)

The resolution set out in section was passed at a meeting at which quorum was present.

In respect of this application and any additional signatories page:

All signatures are genuine. The information is correct and I have initialled all corrections. Total number of authorised signatories in section on this form is Signed by _____

(Trustee/Secretary/Chairman of the meeting and Secretary Trust/Association/Club/Charity)

Signature

Full Name

Official position

Date

Signature

Full Name

Official position

Date